

TFW

Appln. No. : 10/733,610  
Applicant : Cross, *et al.*  
Filed : December 11, 2003  
TC/A.U. : 2654  
Examiner : Unassigned

Confirmation No. 8543

Docket No. : BOC9-2003-0066 (437)

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INFORMATION DISCLOSURE STATEMENT

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MAIL STOP AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Forms PTO/SB/08A and B, with references, and which the Examiner may deem relevant to patentability of the claims of the above-identified application. This Information Disclosure Statement is filed in accordance with the partial waiver of the submission requirement under 37 C.F.R. 1.98(a)(2)(i).

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not


{WP190109;1}

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CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

21 July 2004  
Date

  
Brian K. Buchheit, Esquire Reg. No. 52,667

waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Forms PTO/SB/08A and B be returned in accordance with MPEP §609.

Respectfully submitted,

Date: 21 July 2004



Gregory A. Nelson, Reg. No. 30,577  
Brian K. Buchheit, Reg. No. 52,667  
Richard A. Hinson, Reg. No. 47,652  
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**JUL 26 2004**

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 14-00000

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/733,610
Filing Date	December 11, 2003
First Named Inventor	Cross, et al.
Art Unit	2654
Examiner Name	Unassigned
Attorney Docket Number	BOC9-2003-0066 (437)

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

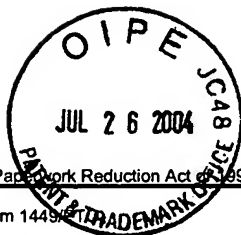
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		CA 2346606	05-07-2001	IBM Corp.		✓
		EP 1100013	05-16-2001	IBM Corp.		✓

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2

of

2

**Complete if Known**

Application Number	10/733,610
Filing Date	December 11, 2003
First Named Inventor	Cross, et al.
Art Unit	2654
Examiner Name	Unassigned
Attorney Docket Number	BOC9-2003-0066 (437)

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		FARRINGDON, J., et al., "Co-Modal Browser-An Interface for Wearable Computers", Int'l. Symp. of Wearable Computers, pp. 45-51, (Oct. 1999).	✓
		JANG, Y.G., et al., "Design and Implementation of Korean Voice Web Browser", Abstract, Jour. of KISS: Computing Practices, Vol. 7, No. 5, pp. 458-466, (Oct. 2001).	✓
		"A Complementary GUI System Using Web Browsers for Voice Application", IBM Corp., Research Disclosure, Art. 454190, pp. 360-361, (Feb. 2002).	✓
		EISENZOPF, J., "VoiceXML and the Future of SALT", Bus. Comm. Review, Vol. 32, No. 5, pp. 54-59, (May 2002).	✓
		"Speech Application Language Tags (SALT) Tech. White Paper", SALT Forum, (Feb. 8, 2002).	✓
		"SALT Speech Application Language Tags (SALT) 1.0", SALT Forum, (Jul. 15, 2002).	✓
		KLEINDIENST, J., et al., "CATCH-2004 Multi-Modal Browser: Overview Description with Usability Analysis", IEEE: Multimodal Interfaces, pp. 442-447 (2002).	✓
		TRABELSI, Z., et al., "Multimodal Integration of Voice and Ink for Pervasive Computing", IEEE: Multimodal Interfaces, pp. 222-229 (2002).	✓

Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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TRANSMITTAL LETTER

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MAIL STOP AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find enclosed the following for filing:

- X Information Disclosure Statement, Forms PTO/SB/08A and B, with references;
- X Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-0951; and
- X Other: (1) Postcard.

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 21 July 2004

Gregory A. Nelson, Reg. No. 30,577  
Brian K. Buchheit, Reg. No. 52,667  
Richard A. Hinson, Reg. No. 47,652  
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